

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Michael Z. Gilman

Serial No.: 09/676,834 ✓

Filed: September 29, 2000

For: NEW APPLICATIONS OF GENE THERAPY TECHNOLOGY

Attorney Dkt: APBI-P04-340 (formerly APV-340.04)

Commissioner for Patents  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

**TRANSMITTAL LETTER**

Dear Sir:

Responsive to the Notice to Comply With Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed August 2,  
2001, the following is enclosed:

1. Copy of Notice to Comply With Requirements for Patent Applications Containing  
Nucleotide Sequence and/or Amino Acid Sequence Disclosures (2 pp.);
2. Response to Notice to Comply With Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence  
Disclosures (1 p.);
3. Postpaid Return Postcard.

The undersigned authorizes the charging of any additional fees and crediting of any  
overpayment associated with this communication to our Deposit Account No. 18-1945. The  
undersigned requests any extensions of time necessary to respond. .

I hereby certify that this correspondence is deposited with  
the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner for Patents ,  
Washington, DC 20231 on:

August 15, 2001

Date

*Joan Glassman*  
JOAN GLASSMAN

Respectfully submitted,

ROPES & GRAY, LLP

*Matthew P. Vincent*  
Matthew P. Vincent, Reg. No. 36,709  
One International Place  
Boston, MA 02110  
Tel. (617) 951-7000



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/676,834	09/29/2000	Michael Z. Gilman	APBI-P04-340

28120  
ROPES & GRAY  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110-2624

CONFIRMATION NO. 4232

## CORRECTION COVER LETTER



\*OC000000006302663\*

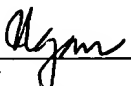
Date Mailed: 08/02/2001

## COVER LETTER FOR CORRECTION OF PREVIOUS NOTICE

The Notice mailed on 11/20/2000 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

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*A copy of this notice MUST be returned with the reply.*

  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,834	09/29/2000	Michael Z. Gilman	APBI-P04-340

CONFIRMATION NO. 4232

## FORMALITIES LETTER



\*OC000000006373267\*

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ONE INTERNATIONAL PLACE  
BOSTON, MA 02110-2624

Date Mailed: 08/02/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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